

DTCC

Securing Today. Shaping Tomorrow.®



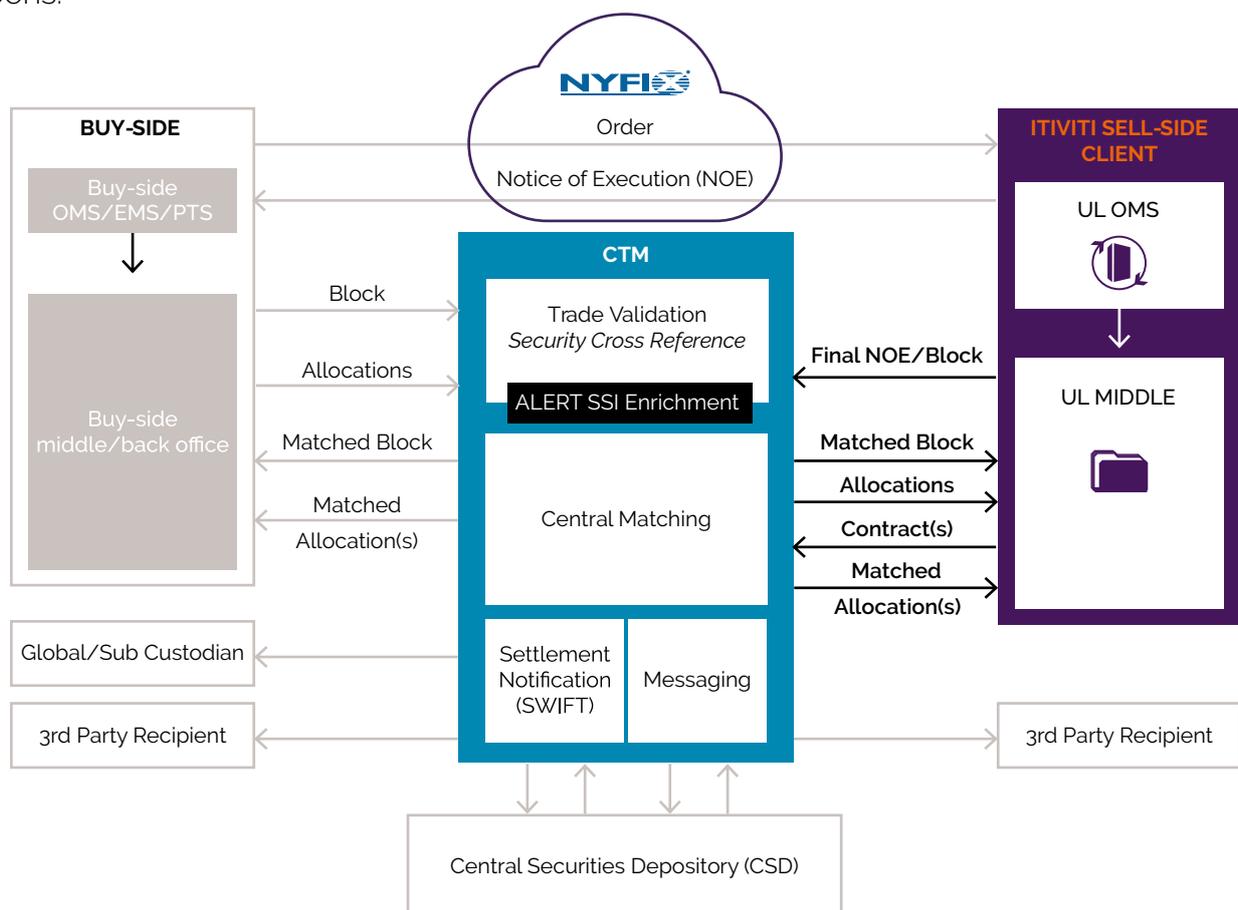
ITIVITI

A fully managed, turnkey solution to satisfy your post-trade requirements.

Itiviti delivers a fully managed service bureau solution to access DTCC's Institutional Trade Processing (ITP) services, facilitating firms' middle-office process.

The real time service is fully hosted, managed and maintained by Itiviti, in partnership with DTCC, enabling clients to focus on their business by outsourcing connectivity management.

Clients benefit from close integration between DTCC's CTM platform and Itiviti's UL Middle Office offering through enhanced workflows and specifically adapted screens.



UL MIDDLE

Itiviti's Middle-Office solution addresses the intense wave of regulatory initiatives and market structural changes that impact post-trade management, so you can cope with the increasing use of electronic workflows while further reducing operational risk and squeezing costs.

With UL MIDDLE, streamline post-trade operations across your trading systems, and tailor fees, allocations and matching workflows to your needs so you can deliver just-in-time confirmations to your clients.

Benefits



CTM

DTCC's strategic CTM platform facilitates the central matching of cross-border and domestic transactions automating the trade confirmation process across multiple asset classes. Given today's challenging economic environment, market participants have never been under greater pressure to lower cost and increase operational efficiency. The CTM service allows market participants to not only process trades faster, but process trades better. Industry experts agree that central matching via the CTM platform is the most efficient way to streamline trade flows and achieve higher levels of automation.

Benefits

- The CTM service is an **industry owned and governed solution**
- **High transparency and consistency** for your post-trade processes
- Connecting to the CTM platform means **connecting to almost 2000 counterparties in 52 countries**
- **Support of multiple asset classes on one platform**, such as Equities, Fixed Income, Repurchase Agreements (Repos), Synthetic Equity Swaps and Exchange Traded Derivatives
- **Incorporation of industry best practices**, such as SMPG, AFME, ISITC and user community best practices
- **Improved regulatory compliance and risk management**
- **Direct links to depositories** in Canada (CDS), in Chile (DCV), in Korea (KSD) and in the US (DTC)
- CTM is a **regulated service** for the central matching of trades in the US and Canada.

About DTCC

With 45 years of experience, DTCC is the premier post-trade market infrastructure for the global financial services industry. From operating facilities, data centers and offices in 16 countries, DTCC, through its subsidiaries, automates, centralizes and standardizes the processing of financial transactions, mitigating risk, increasing transparency and driving efficiency for thousands of broker/dealers, custodian banks and asset managers. Industry owned and governed, the firm simplifies the complexities of clearing, settlement, asset servicing, data management and information services across asset classes, bringing increased security and soundness to financial markets. In 2017, DTCC's subsidiaries processed securities transactions valued at more than U.S. \$1.61 quadrillion. Its depository provides custody and asset servicing for securities issues from 131 countries and territories valued at U.S. \$57.4 trillion. DTCC's Global Trade Repository service maintains approximately 40 million open OTC positions per week and processes over one billion messages per month through its group of licensed trade repositories.

To learn more, please visit us at www.dtcc.com or connect with us on LinkedIn, Twitter, YouTube and Facebook.

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Certain DTCC ITP LLC services are subject to regulation by the U.S. Securities and Exchange Commission ("SEC") and are offered by DTCC ITP Matching (US) LLC ("DTCC Matching"), which is set out in SEC Release No. 34-44188; File No. 600-32; 66 FR 20494 (April 17, 2001). TradeSuite ID and CTM are services of DTCC Matching and are subject to SEC regulation when used for trades in which either the broker-dealer or its institutional customer is a U.S. entity and the securities in the trade are issued by a U.S. issuer. No other services offered by DTCC ITP LLC are regulated.

About Itiviti

Itiviti is a market-leading global provider of multi-asset trading technology and financial infrastructure solutions for buy-side and sell-side market participants, including NYFIX, one of the industry's largest FIX-based trading communities.

Serving around 2000 clients worldwide, we provide consistent, reliable access to the most up-to-date and innovative order routing, connectivity and trading solutions available. Top-tier trading firms, banks, brokers, exchanges and institutional investors rely on our technology, solutions and expertise to streamline their daily operations, connect to their desired markets, and trade when and where they want. All while being able to comply with global regulation.

With global offices in 18 locations covering all major financial centers the merger of Itiviti and ULLINK in March 2018 created a full-service technology and infrastructure provider, covering all asset classes, geographies and regulatory landscapes.

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